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Notice of Allowance Dated: April 14, 2004  
Confirmation No. 6603

P2292.D1

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Kazuhiko Amano, et al.

Group Art Unit: 3736

Serial No.: 09/587,049

Examiner: Robert L. Nasser

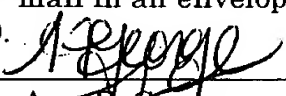
Filed: June 2, 2000

Title: Device For Diagnosing Physiological State And Device For  
Controlling The Same

**CERTIFICATE OF MAILING**

I hereby certify that this Communication and the documents attached hereto, are being deposited with the United States Postal Service with sufficient postage as "First Class" mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: May 6, 2004

  
Ann F. George

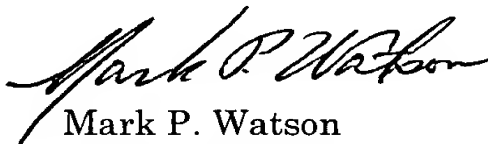
**COMMUNICATION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants filed a Second Supplemental IDS that was received by the Patent Office on May 6, 2002, and a Fifth Supplemental IDS that was received by the Patent Office on September 29, 2003, as indicated on the attached page from the PAIR system. It is respectfully requested that the forms PTO-1449 filed with each of these IDSs be initialed by the Examiner and returned to Applicants with the next office communication.

Respectfully submitted,

  
Mark P. Watson  
Registration No. 31,448

Please address all correspondence to:  
Epson Research and Development, Inc.  
Intellectual Property Department  
150 River Oaks Parkway, Suite 225  
San Jose, CA 95134  
Customer No. 20178  
Phone: (408) 952-6000  
Facsimile: (408) 954-9058

Date: May 6, 2004

P2292.D1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Kazuhiko Amano, et al.

Group Art Unit: 3736

Serial No.: 09/587,049

Examiner: R. Nasser

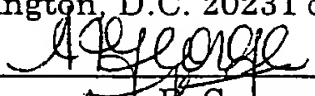
Filed: June 02, 2000

Title: Device For Diagnosing Physiological State And Device For Controlling The Same

## CERTIFICATE OF MAILING

I hereby certify that this Information Disclosure Statement, and the documents attached hereto, are being deposited with the United States Postal Service as First Class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on this date.

Date: April 30, 2002

  
Ann F. GeorgeSECOND SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, and in accordance with the practice under 37 C.F.R. § 1.97 and § 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO-1449. Copies of the listed information are also enclosed.

This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Action under §1.113 or Notice of Allowance under §1.311 (37 CFR §1.97(c)).

The Commissioner is authorized to charge the fee of \$180.00 under 37 CFR §1.17(p) to Deposit Account No. 19-2746.

## CONCLUSION

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any over-payment to Deposit Account 19-2746.

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be initialed and returned indicating that such information has been considered.

Respectfully submitted,



Mark P. Watson

Registration No. 31,448

Please address all correspondence to:

Epson Research and Development, Inc.  
Intellectual Property Department  
150 River Oaks Parkway, Suite 225  
San Jose, CA 95134  
Customer No. 20178  
Phone: (408) 952-6000  
Fax: (408) 954-9058

Date: April 30, 2002

INFORMATION DISCLOSURE CITATION  
(USE SEVERAL SHEETS IF NECESSARY)  
PAGE 1 OF 1

APPLICANT

Kazuhiko Amano, et al.

FILING DATE

June 02, 2000

GROUP

3736

## U.S. PATENT DOCUMENTS

E.I.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	AA					
	AB					
	AC					
	AD					
	AE					

## FOREIGN PATENT DOCUMENTS

E.I.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANS- LATION
	AF	04-300562	October 23, 1992	Japan		Abstract
	AG	03-217672	September 25, 1991	Japan		Abstract
	AH	60-48714	April 5, 1985	Japan		Partial Translation
	AI	59-108638	July 21, 1984	Japan		Partial Translation
	AJ	60-192842	December 21, 1985	Japan		Partial Translation
	AK	6-41743	June 3, 1994	Japan		Partial Translation
	AL	02-277435	November 14, 1990	Japan		Abstract
	AM	01-305962	December 11, 1989	Japan		Abstract
	AN	60-128605	August 29, 1985	Japan		Partial Translation
	AO	07-116138	May 9, 1995	Japan		Abstract
	AP	04-309362	October 30, 1992	Japan		Abstract
	AQ	WO 94/09912	May 11, 1994	WIPO		

## OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

	AR	
	AS	
	AT	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.



P2292.D1

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Kazuhiko Amano, et al.

Group Art Unit: 3736

Serial No.: 09/587,049

Examiner: Robert L. Nasser

Filed: June 2, 2000

Title: DEVICE FOR DIAGNOSING PHYSIOLOGICAL STATE AND  
DEVICE FOR CONTROLLING THE SAME

**CERTIFICATE OF MAILING**

I hereby certify that this Information Disclosure Statement and the documents attached hereto, are being deposited with the United States Postal Service with sufficient postage as First Class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: September 25, 2003

*Ant F. George*  
Ant F. George

**FIFTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. §1.56, and in accordance with the practice under 37 C.F.R. §1.97 and §1.98, the Examiner's attention is directed to the document(s) listed on the enclosed Form PTO-1449. A copy of each listed document is enclosed, except, in the case where this application is filed after June 30, 2003, copies of any U.S. patents and U.S. patent application publications are not enclosed.

This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Action under §1.113 or Notice of Allowance under §1.311 (37 CFR §1.97(c)).

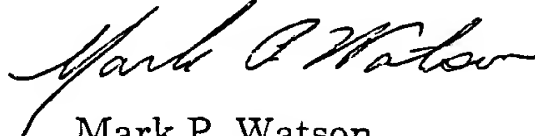
The Commissioner is authorized to charge the fee of \$180.00 under 37 CFR §1.17(p) to Deposit Account No.: 19-2746.

**CONCLUSION**

The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any over-payment to Deposit Account No.: 19-2746.

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be initialed and returned indicating that such information has been considered.

Respectfully submitted,



Mark P. Watson  
Registration No. 31,448

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Intellectual Property Department  
150 River Oaks Parkway, Suite 225  
San Jose, CA 95134  
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Phone: (408) 952-6000  
Facsimile: (408) 954-9058

Date: September 25, 2003

MAY 10 2004

INFORMATION DISCLOSURE CITATION  
(USE SEVERAL SHEETS IF NECESSARY)  
PAGE 1 OF 1

APPLICANT

Kazuhiko Amano, et al.

FILING DATE

June 2, 2000

GROUP

3736

## U.S. PATENT DOCUMENTS

E.I.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	AA					
	AB					
	AC					
	AD					
	AE					
	AF					
	AG					
	AH					
	AI					
	AJ					
	AK					

## FOREIGN PATENT DOCUMENTS

E.I.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
AL	05-237351	September 17, 1993	Japan			Yes
AM	6-211822	August 2, 1994	Japan			Yes
AN						
AO						
AP						
AQ						

## OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

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AS	
AT	
EXAMINER	DATE CONSIDERED

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.



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Search results for application number:09/587,049			
Application Number:	09/587,049	Customer Number:	20178
Filing or 371(c) Date:	06-02-2000	Status:	Final Rejection
Application Type:	Utility	Status Date:	01-26-2004
Examiner Name:	NASSER, ROBERT L	Location:	TC 3700 CEN FILES, CP2-3
Group Art Unit:	3736	Location Date:	03-12-2004
Confirmation Number:	6603	Earliest Publication No:	-
Attorney Docket Number:	P2292.D1	Earliest Publication Date:	-
Class/ Sub-Class:	600/300	Patent Number:	-
First Named Inventor:	KAZUHIKO AMANO, SUWA-SHI, (JP)	Issue Date of Patent:	-
Title Of Invention:	DEVICE FOR DIAGNOSING PHYSIOLOGICAL STATE AND FOR CONTROLLING THE SAME		

Select Search Option

- [Continuity Data](#)
- [Display References](#)
- [Foreign Priority](#)
- [Publication Review](#)

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File History		
Number	Date	Contents Description
34	01-26-2004	Mail Final Rejection (PTOL - 326)
33	01-23-2004	Final Rejection
32	09-30-2003	Information Disclosure Statement (IDS) Filed
31	11-18-2003	Date Forwarded to Examiner
30	11-12-2003	Response after Non-Final Action
29	09-29-2003	Information Disclosure Statement (IDS) Filed
28	08-27-2003	Mail Supplemental Non-Final Action
27	08-20-2003	Supplemental Non-Final Action
26	08-08-2003	Mail Non-Final Rejection
25	08-08-2003	Non-Final Rejection
24	05-23-2003	Affidavit(s) (Rule 131 or 132) or Exhibit(s) Received
23	05-28-2003	Date Forwarded to Examiner
22	05-23-2003	Response after Non-Final Action
21	02-25-2003	Mail Non-Final Rejection
20	02-20-2003	Non-Final Rejection
19	12-11-2002	Date Forwarded to Examiner
18	12-03-2002	Response after Non-Final Action
17	11-26-2002	Information Disclosure Statement (IDS) Filed
16	09-17-2002	Mail Supplemental Non-Final Action



15	09-12-2002	Supplemental Non-Final Action
14	08-13-2002	Information Disclosure Statement (IDS) Filed
13	05-06-2002	Information Disclosure Statement (IDS) Filed
12	07-02-2002	Mail Non-Final Rejection
11	06-28-2002	Non-Final Rejection
10	03-26-2002	Information Disclosure Statement (IDS) Filed
9	04-16-2002	Date Forwarded to Examiner
8	04-09-2002	Response to Election / Restriction Filed
7	03-12-2002	Mail Restriction Requirement
6	03-11-2002	Requirement for Restriction / Election
5	08-07-2000	Case Docketed to Examiner in GAU
4	06-28-2000	Application Dispatched from OIPE
3	06-28-2000	Correspondence Address Change
2	06-23-2000	IFW Scan & PACR Auto Security Review
1	06-02-2000	Initial Exam Team nn

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